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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re
U.S. application: John NAFEH et al.

For: METHODS AND APPARATUS FOR FORMULATION, INITIAL
PUBLIC OR PRIVATE OFFERING, AND SECONDARY
MARKET TRADING OF RISK MANAGEMENT CONTRACTS

U.S. Serial No.: 09/923,035

Filed: August 6, 2001

Confirmation No.: 8962

Group Art Unit: 3628

Examiner: Clement B. Graham

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being
deposited with the United States Postal Service as first
class mail in an envelope addressed to: Commissioner for
Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

December 9, 2004

Date of Deposit

Tung T. Nguyen

Name of Applicant, Assignee, or Registered Representative

Tung T. Nguyen
Signature

December 9, 2004

Date of Signature

Dear Sir:

SECOND REQUEST FOR
STATUS OF PENDING APPLICATION

Although this application was filed on August 6, 2001, the Patent Application Information Retrieval (PAIR) database indicates that as of December 9, 2004, a first Office Action has not been issued for this case. This is Applicants' second request for status of the pending application, the first request being filed with the U.S. Patent and Trademark Office on May 17, 2004 (copy enclosed).

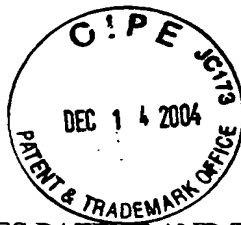
The undersigned attorney of record respectfully requests the Examiner to advise when is it anticipated that a first Office Action will be issued.

Respectfully submitted,

By: _____

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Tung T. Nguyen
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December 9, 2004



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re
U.S. application: John NAFEH and Kenton K. YEE
For: METHODS AND APPARATUS FOR FORMULATION, INITIAL PUBLIC
OR PRIVATE OFFERING, AND SECONDARY MARKET TRADING OF
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Examiner: Clement B. Graham

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
May 17, 2004	Date of Deposit
Name of Applicant, Assignee, or Registered Representative	
Signature	
May 17, 2004	Date of Signature


REQUEST FOR STATUS OF PENDING APPLICATION

Although this application was filed on August 6, 2001, the Patent Application Information Retrieval (PAIR) database indicates that as of May 17, 2004, a first Office Action has not been issued for this case.

The undersigned attorney of record respectfully requests the Examiner to advise when is it anticipated that a first Office Action will be issued.

Respectfully submitted,

By:


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